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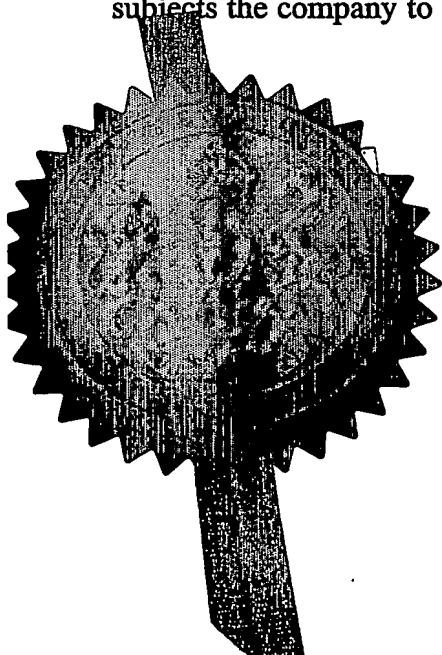
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*Stephen Hordley*

Dated 9 December 2003

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1. Your reference

A30237

2. Patent application number  
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0226872.0

19N0902 E764392-1 003052  
P01/7700 0.00-0226872.0

3. Full name, address and postcode of the or of each applicant (underline all surnames)

BRITISH TELECOMMUNICATIONS public limited company  
81 NEWGATE STREET  
LONDON, EC1A 7AJ, England  
Registered in England: 1800000

Patents ADP number (if you know it)

1867002

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

4. Title of the invention

Video Transmission

5. Name of your agent (if you have one)

LLOYD, Barry George William

"Address for Service" in the United Kingdom to which all correspondence should be sent (including the postcode)

BT GROUP LEGAL SERVICES  
INTELLECTUAL PROPERTY DEPARTMENT  
HOLBORN CENTRE  
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LONDON, EC1N 2TE

Patents ADP number (if you know it)

1867001

7980311001

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Country

Priority application number  
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Date of filing  
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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

(See note (d))

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Continuation sheets of this form

Description 21

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Abstract -

Drawing(s) 11 + 11 *SW*

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Priority Documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77) ☒

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application. Signature(s) *[Signature]* Date: 18 November 2002

**LLOYD, Barry George William, Authorised Signatory**

12. Name and daytime telephone number of person to contact in the United Kingdom

**Samantha Radley**

**020 7492 8146**

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Video Transmission

The present invention is concerned with the transmission of digitally coded video signals over a telecommunications network, and, more particularly, video signals which have been  
5 encoded using a compression algorithm.

The rationale of compression algorithms is to exploit the inherent redundancy of the original video signal so as to reduce the number of bits that require to be transmitted. Many such algorithms are defined in international standards such as the ITU H.263 and the ISO MPEG standards. A useful review of these is given in Ghanbari., M., *Video Coding*,  
10 *an introduction to standard codecs*, IEE, London, 1999.

The degree of redundancy naturally varies with the picture content, and consequently the compression efficiency does too, resulting in a varying number of coded bits per frame. One option is to transmit the bits as they are generated, as in so-called variable bit rate (VBR) systems in which the transmitted bit rate varies considerably with time. Another  
15 option - constant bit rate (CBR) systems - is to employ a buffer at both the transmitter and receiver, to smooth out these fluctuations, and transmit from the transmit buffer to the receive buffer at a constant rate. CBR systems utilise a feedback mechanism to vary the rate at which data are generated (for example by adjusting the coarseness of quantisation used, or frame dropping), to prevent buffer overflow. The use of buffering necessarily  
20 involves the introduction of delay, increasing the latency of start (LOS) - i.e. the user has to wait while the receive buffer is filled to the necessary level before decoding and display of the pictures can commence. The feedback mechanism involves reduction in picture quality.

It has also been proposed to employ a degree of buffering to reduce, yet not totally  
25 eliminate, bit-rate variations (see, for example, Furini, M. and Towsley, D. F., "Real-Time Traffic transmissions over the Internet", *IEEE Transactions on Multimedia*, Vol. 3, No. 1, March 2001).

A major consideration when transmitting over a telecommunications network, and in particular packet networks such as the internet, is the effect of network congestion, where  
30 packet loss and unpredictable delays can cause problems. This has given rise to proposals

for reservation systems, where a transmitter can request the network to allocate a specified guaranteed bit rate for its transmissions for a period of time. One such system, called "RSVP" is described in the Internet Engineering Task Force (IETF) document RFC 2205. However, other systems such as Expedited Forwarding of Differentiated Service, or CR-  
5 LDP may also be used.

In the case of a live video feed, the future characteristics of the bitstream being coded are unknown; with recorded material, however, they are. The fact that reservation systems allow the amount of the reserved bit-rate to be changed offers the opportunity to decide on a policy of how much network capacity to reserve at any time, based on knowledge of the  
10 coded material. A simple approach is to calculate the peak (VBR, unbuffered) bit-rate and request this for the entire duration of the transmission, but this is wasteful of network capacity and of course the higher the capacity requested, the greater is the probability of the network being unable to provide it and hence of the reservation request being refused. Another simple approach, which minimises the bit-rate to be requested, is to calculate the  
15 average bit-rate of the whole transmission and request this; however this results in the need for a very large buffer at the receiver and, more importantly (given that large amounts of storage are today relatively cheap) a large LOS. A modification to the peak-rate approach is considered in the above-cited paper by Furini and Towsley. Their scheme involves identifying the point in the video sequence at which the peak rate reaches a maximum, and  
20 requesting this rate for the period of time up to that point. Then the maximum peak rate over the remainder of sequence is located, and this (lower) rate requested. This process continues in the same manner over the whole sequence. The paper also suggests that a degree of buffering might be applied, thereby reducing the effective peak rates before the reservation algorithm is applied. Although this system improves the efficiency of network  
25 use as compared with the single peak rate system, there is still much wasted (i.e. reserved but unused) network capacity, and of course the benefit is small if the maximum peak rate occurs towards the end of the sequence. It does however have the benefit that the amount of network capacity requested falls, and, specifically, a reservation request never asks for a bit-rate that exceeds that of the previous requests, thereby reducing the risk of the  
30 reservation request being refused.

---

According to the present invention there is provided a method of transmitting a digital sequence of video signals which have been encoded using a compression algorithm such that the number of coded bits per frame is not constant, comprising:

- (a) dividing the sequence into segments, wherein the first segment is a portion at the beginning of the sequence which has an average number of coded bits per frame which is greater than or equal to the average number of coded bits per frame of any shorter such portion, and wherein each succeeding segment is a portion immediately following the preceding segment which has an average number of coded bits per frame which is greater than or equal to the average number of coded bits per frame of any shorter such portion;
- (b) determining a bit rate for each segment;
- (c) transmitting the signals at the determined bit rates.

Other aspects of the invention are set out in the sub-claims, below.

Some embodiments of the invention will now be described, by way of example, with reference to the accompanying drawings, in which:

- Figures 1A to 2C show graphically the results of tests carried out;

Figure 4 is a block diagram of one form of apparatus for implementing the invention; and

Figure 5 is a flowchart illustrating the operation of the apparatus of Figure 4.

- Consider, at a receiver, some arbitrary time segment (but equal to a whole number of frame periods), extending from time  $t_g$  at which the receiver begins to decode frame  $g$  to time  $t_h$  at which the receiver begins to decode frame  $h$ . The duration of this segment is  $h-g$ . Suppose, further, that the transmission rate during this segment is  $A$  bits/frame period.

Obviously, at time  $t_g$ , the receiver must have already received the bits for all frames up to and including frame  $g$ , i.e.

$$\sum_{j=0}^g d_j \quad \text{bits}$$

- where  $d_j$  is the number of coded bits generated by the encoder for frame  $j$ .

Suppose however that the receiver has, prior to time  $g$ , also received  $p$  additional bits, that

is, in total,  $\sum_{j=0}^g d_j + p$  bits.

At any time  $t_k$  ( $t_g \leq t_k \leq t_h$ ), at which the receiver begins to decode frame  $k$ , the receiver has also received  $(k - g)A$  bits, so:

$$\text{Total bits received at time } t_k = \sum_{j=0}^g d_j + p + (k - g)A.$$

At this point, the receiver *needs* to have all the bits for frames up to and including frame  $k$ ,  
5 that is:

$$\text{Total bits needed at time } t_k = \sum_{j=0}^k d_j.$$

Since the number of bits received must be at least equal to the number needed, the condition that needs to be satisfied to avoid buffer underflow is

$$\sum_{j=0}^g d_j + p + (k - g)A \geq \sum_{j=0}^k d_j$$

$$10 \quad \text{Or} \quad p + (k - g)A \geq \sum_{j=g+1}^k d_j$$

If this is to be achieved *without* the transmission of preload bits  $p$ , this requires that

$$(k - g)A \geq \sum_{j=g+1}^k d_j$$

$$\text{Or,} \quad A \geq \frac{1}{(k - g)} \sum_{j=g+1}^k d_j.$$

Thus, the transmitted rate  $A$  must be greater than or equal to the average generated bits per  
15 frame over frames  $g+1$  to  $k$ , for any value of  $k$  ( $g+1 \leq k \leq h$ ), which will be achieved if

$$A = \text{Max}_{k=g+1}^h \left\{ \frac{1}{(k - g)} \sum_{j=g+1}^k d_j \right\}.$$

Use of this rate means that the number of bits  $(h - g)A$  transmitted during the segment will exceed the number of bits generated for the segment, unless the maximum occurs for  $k = h$ , that is, at the end of the segment. On the premise that the continued use of the  
20 transmission rate thus calculated, after the maximum has passed, seems to represent the use

of a rate higher than absolutely necessary, the first version of the invention now to be describe aims to partition the data to be transmitted into segments in such a manner that these maxima always occur at the end of segment.

The first method to be described is for the transmission of stored video material, already  
 5 encoded using a compression algorithm such as MPEG, over a packet network such as the internet. It presupposes that the network has provision for reservation of bit-rate capacity. It aims to determine the bit-rate that is to be used as a function of time, in such a manner as to achieve:

- small latency of start;
- 10 - low transmitted bit-rate; and
- high transmission efficiency (i.e. low wastage);

although since these are conflicting requirements, any solution must necessarily be a compromise.

In this example it is assumed that there is no constraint on the bit rate that may be chosen,  
 15 and that the bit rate used for transmission and the bit rate reserved on the network are the same.

This first version also is subject to the constraint that the requested bit-rate can never increase - i.e. it is a monotonically decreasing function of time: as noted above, this is desirable in reducing the risk of reservation failure.

20 Since huge storage hardware is not a problem for current users, in this solution, reducing the required buffer size at the decoder is not of primary concern, though, in fact, the required buffer size resulting from this method is also greatly reduced compared with using the average bit rate to achieve VBR video transmission. Even in the worst case, rarely encountered in practice, the buffer size required would be no larger than required when  
 25 transmitting a VBR video stream at the average bit rate.

The following algorithm determines a "function of transmission ("FOT") to be used.

We assume there are  $N$  frames in the video sequence, and the number of encoded bits for each frame is  $d_0, d_1, \dots, d_{N-1}$ , respectively



As noted above, this algorithm is subject to the constraint that the function of transmission can never increase, but only decrease.

Conceptually, transmission rate changes can occur at any frame interval in the FOT. In practice, there may be a limit on how often the rate may be changed, depending on the constraints of the particular reservation system in use; however, with a monotonically decreasing FOT, a delay in rate change (although wasteful of network capacity), will not result in any loss of quality since its only effect is the reservation of more capacity than is actually needed. The first step of the algorithm is to find how many "steps" the FOT will have, and when each step occurs.

10 First, we define:

$$A_i = \frac{\sum_{j=0}^i d_j}{(i+1)} \quad (\text{E.6})$$

which represents the average bit rate of the video sequence from the start up to and including frame  $i$ . Then,  $A_0, A_1, \dots, A_{N-1}$  are calculated, and from these the value of  $i$  having the largest  $A_i$ . Suppose this value is  $k_0$ . The first "step" edge is defined as occurring at the end of frame  $k_0$ . It means that, until the end of frame  $k_0$ , FOT needs its highest transmission rate.

After finding the first "step", frame  $(k_0+1)$  is regarded as the "first" frame for the following frames and  $A_{i+1}^{(1)}$  are calculated for  $i = k_0+1, k_0+2, \dots, N-1$ . The formula for this is

$$A_i^{(1)} = \frac{\sum_{j=k_0+1}^i d_j}{(i - k_0)} \quad (\text{E.6A})$$

20 or, in the general case,

$$A_i^{(q)} = \frac{\sum_{j=k_{q-1}+1}^i d_j}{(i - k_{q-1})} \quad (\text{E.6B})$$

Again, the largest value is chosen as the second "step" edge, at the end of frame  $k_1$ ,  $k_1$  being the corresponding value of  $i$ . The above procedure is repeated until the last "step"

edge at frame  $N-1$  has been reached. In general this results in  $M$  values  $k_m$ ,  $m = 0, \dots, M-1$  (where  $k_{M-1}$  is always equal to  $N-1$ ) which may be regarded as dividing the video sequence into  $M-1$  segments: segment 0 comprises frames 0 to  $k_0$ ; other segments  $m$  each comprise frames  $k_{m-1}+1$  to  $k_m$ .

- 5 The purpose of the second stage of the algorithm is to choose an appropriate transmission rate for the "level" of each "step". Now, theoretically, the lowest rate that can ensure that all needed bits will be delivered by the end of each "step" even without any preloaded bits is the average of the bit-rates of the frames making up the segment. A lower rate necessarily requires preloaded bits and consequently a higher LOS, whilst with higher
- 10 rates, network capacity may be wasted. Also, higher rates must lead to more risk of failure to reserve resource.

There are  $M$  segments  $m = 0, 1, \dots, M-1$ . Also, we define:

$S_i$  is the sum of bits generated in Segment  $i$  – i.e.  $\sum_{q=k_{i-1}+1}^{k_i} d_q$ ;

$R_i$  is the transmission rate of FOT in Segment  $i$ ; (note that  $K_0 = k_0 + 1$ )

- 15  $K_i$  is the number of frames in Segment  $i$  – i.e.  $k_i - k_{i-1}$ ;

In this case the required rates are simply the average rates  $R_i = S_i / K_i$ ;  $i = 1, 2, \dots, M-1$ .

This method can also be used to calculate the rate  $R_0$  for Segment 0, if we define  $k_{-1} = -1$ .

- Note that, in MPEG video coding, the first frame is always an I frame and it generates more bits than P or B frames. So, often, our results show the first segment includes only
- 20 one frame and the transmission rate  $R_0$  is much larger than  $R_1$ . Since users easily can wait a few frames' interval to have a better chance of resource reservation success, we prefer to set  $R_0 = R_1$ .

The Third Step: After we have determined the whole FOT, the required buffer size at the decoder can be worked.

- 25 We now describe a second, modified version which is subject to a constraint on the rates that may be chosen. For example the constraint may be that the rate must be an integer number of bits per frame, or more generally that the rate must be one of a number of discrete rates. In analysis we will use the quantisation operators defined as follows:

$Q^+(X)$  means the lowest permitted rate greater than or equal to  $X$  (also referred to as the "ceiling" rate);

$Q^-(X)$  means the highest permitted rate less than or equal to  $X$  (also referred to as the "floor" rate).

5 Two options will be discussed:

(a) rounding up to the ceiling rate: in this case the rate used can become higher than strictly necessary for a particular segment, which may offer the opportunity to use a lower rate for the following segment;

10 (b) rounding down to the floor rate: in this case the rate used can become lower than necessary for a particular segment, resulting in the need to use a higher rate for the preceding segment.

Consider first the ceiling option. We first define the ceiling value of the "height" of the first "step" in the original FOT as the "height" of the refined first "step" in our new FOT. It will be noticed that, in this way, after the first "step", more bits have been transmitted to  
 15 the receiver than the sum of bits of frames belonging to the "first" step. Thus, when we refine the second "step", we should exclude the number of bit belonging to the following "step" but having been transmitted in the previous "step(s)" and recalculate the average rate of the second "step". If the ceiling value of the new average bit rate is not less than the ceiling value of the average rate of the old third "step", it is just defined it as the "height"  
 20 of the refined second "step". Otherwise, we define the ceiling value of the average bit rate of the old third "step" as the "height" of the refined second "step". Follow this procedure until the "height" of the refined last "step" is fixed. Since it always takes the ceiling value of each "step", it is possible that the VBR video stream transmission is achieved a few frame intervals shorter than the duration of the video sequence. With simulating the  
 25 transmission based on the new FOT, the lifetime duration of FOT can be exactly specified. Once the VBR video stream transmission is achieved, reserved network resource can be immediately released. Thus, the 100% bandwidth utilization is still guaranteed. With the "height" of the refined first "step", LOS can be precisely recalculated. Finally, through simulating the transmission procedure, the required buffer size to prevent overflow can be  
 30 also fixed.

The procedure adopted is as follows. Division into segments proceeds as before.

As well as the quantities  $S_i$ ,  $R_i$ ,  $K_i$ , defined above, we also introduce  $R_i^1$ , a temporary value for the transmission rate in Segment  $i$ .

I. Calculate all the average rates  $R_i^1 = S_i/K_i$ ;  $i = 0, 1, \dots, M-1$ .

5 II. Set the rate for Segment 0 as  $R_0 = Q^+(R_0^1)$

(Note that if as discussed earlier it is desired to use a lower rate for the first Segment, then one may instead begin with Segment 1)

III. Set the rate for Segment 1 by subtracting, before quantisation, the extra bits sent during the previous Segment:

$$10 \quad \begin{aligned} R_1 &= Q^+\{R_1^1 - (R_0 - R_0^1)\} \\ \text{or } &= Q^+\{R_2^1\} \end{aligned}$$

whichever is the greater.

IV. For the remaining Segments  $i = 2, \dots, M-1$ :

$$\begin{aligned} R_i &= Q^+\{R_i^1 - (R_{i-1} - R_{i-1}^1)\} \\ \text{or } &= Q^+\{R_{i+1}^1\} \end{aligned}$$

whichever is the greater. Naturally the second alternative does not arise for  $i = M-1$ .

15 The third version to be described uses the "floor" rates. In this case, the processing must be performed in reverse order, starting from the last "step". This is necessary so that the bits which cannot be transmitted in a particular segment can be pre-transmitted in the previous Segments. The specific procedure first defines the floor value of the average bit rate of the last "step" as the new transmission rate of the refined last "step" in the new FOT. The  
20 number of bits which are needed by the refined last "step" but cannot be transmitted, can then be determined. The previous "step(s)" should guarantee such a number of extra bits transmitted before the new last "step" FOT starts. Thus, when we refine the penultimate "step", we must aim for it to carry the bits it needs itself, plus the extra number of bits needed by the last "step". So, a new average bit rate has to be re calculated for the second  
25 last "step". If the floor of the new average bit rate of the second last "step" is not bigger than the floor of the average rate of the third last "step" in original FOT, just define it as

the "height" of the new second last "step". Otherwise, define the floor of the average bit rate of the old third last "step" as the "height" of the new second last "step". Following this procedure until the first "step", the refinement is achieved and the refined FOT is obtained. As in the ceiling case: with the number of the pre-fetched bits and the "height" of the

5 refined first "step", LOS can be precisely recalculated; finally, through simulating the transmission procedure, the required buffer size to prevent overflow can be also fixed.

As before, there are  $M$  segments  $m = 0, 1, \dots, M-1$ . Also, we define:

$S_i$  is the sum of bits generated in Segment  $i$  – i.e.  $\sum_{q=k_{i-1}+1}^{k_i} d_q$ ;

$R_i$  is the transmission rate of FOT in Segment  $i$ ;

10  $K_i$  is the number of frames in Segment  $i$  – i.e.  $k_i - k_{i-1}$ ;

$R_i^1$  is a temporary transmission rate we assume in Segment  $i$ .

I. Calculate all the average rates  $R_i^1 = S_i / K_i$ ;  $i = 1, 2, \dots, M-1$ .

II. Set the transmission rate  $R_{M-1}$  for Segment  $M-1$  equal to the floor value of the average rate for this segment. That is

15 
$$R_{M-1} = Q^{-}\{R_{M-1}^1\}.$$

II. Compute the number of preloaded bits,  $P_{M-1}$ , that are needed to be present in the receiver buffer at the beginning of Segment  $M-1$  to prevent underflow in Segment  $M-1$ .

$$P_{M-1} = (R_{M-1}^1 - R_{M-1}) * K_{M-1}$$

III. The rate for the next segment can then be calculated as

20 
$$R_{M-2} = Q^{-}\{R_{M-1}^1 + P_{M-1}\}$$
  

$$\text{or } R_{M-2} = Q^{-}\{R_{M-3}^1\}$$

whichever is the lower.

with

$$P_{M-2} = (R_{M-2}^1 - R_{M-2}) * K_{M-2}$$

IV. This process is then repeated using the general formula,  $m = M-3, \dots, 0$ :

$$R_m = Q^{-1}\{R_m^1 + P_{m+1}\}$$

$$\text{or } R_m = Q^{-1}\{R_{m-1}^1\}$$

whichever is the lower.

And

$$P_m = R_m^1 - R_m.$$

- 5 Again, if desired this iteration may be stopped at  $m = 1$  and  $R_1$  used for Segment 0.

This process results in a value for  $P_0$  which is a preload for the first Segment, and will need to be transmitted first. In fact, it is convenient to define a preload  $b_0$  which includes all bits that are transmitted before the receiver starts decoding the first frame at  $t=0$ .

Assuming that  $R_0$  is calculated as above, than

10 
$$b_0 = P_0 + R_0$$

If, however the rate  $R_1$  is used for Segment 0, then only  $(K_0 - 1)R_1$  bits can be transmitted between  $t=0$  and the end of the segment and therefore the total preload is:

$$P_0 + K_0 R_0 - (K_0 - 1)R_1$$

The latency of start (LOS) is, assuming  $R_1$  is used,  $b_0 / R_1$ .

- 15 The question of buffer size will now be discussed. Certainly, with our FOT, we can get reasonable transmission rate and LOS. The network transmission efficiency can be almost 100%, and it requires a smaller buffer size than the directly using fixed average rate bandwidth. However, in some situations, it is still much bigger than it required by reserving the peak rate bandwidth. In the scheme of reserving the peak rate bandwidth, it is
- 20 enough if the buffer size at the decoder is only as much as the number of bits spent on the most complicated frame. However, in our scheme, we do need a larger buffer than that. Although, compared with a constant average bit rate, our scheme can get a much smaller buffer size in most of the situations, it should be admitted that, in the worst situation, the buffer size required by our scheme is near the buffer size required by a constant average bit
- 25 rate. Such a situation happens when the biggest  $A_i$  appears in the last frames of the video sequence. In such a situation, our "down stairs" curve almost has only one "step". Thus, it would be not effective enough to minimise the buffer size through the "step" changes.

Nevertheless, such a situation hardly appears because, the later the "peak bits" appears, the less effect on  $A_i$ . Unless, at the end of the sequence, quite a few exceptional complicated frames appear abnormally, it will never happen. No matter what situation happens, LOS will never be a problem with our scheme. We believe, currently, it should not be a problem  
 5 for the user to have some hardware that has a little large storage. A small LOS and good network transmission efficiency should cause more concern by the users.

In addition, even if users cannot afford a large buffer size our scheme requires, a compromise may be taken between the transmission efficiency and required buffer size at the decoder. With such a compromise, the required buffer size can be further reduced as  
 10 the users wish. A new algorithm that severs such a compromise will be designed in our future work.

Incidentally, although our current algorithm description is only based on bits per frame as a basic unit, naturally, the unit can be defined as a GOP or certain number of pictures or packets together. No matter what unit we would like to define in this algorithm, the  
 15 principle is general and should be in common.

We now describe some examples of coding test video sequences, using the "floor" method. In each case the values of the function of transmission  $f(t)$  (or  $R_i$ ), the value of  $b_0$ , and a suggested rate for transmission of  $b_0$  are given, (a) for the above algorithm (b) using the method of Furini and Towsley, and (c) using a single, average bit rate.

## 20 Example 1 "JacknBox"

(a) We have coded a test sequence (named Jacknbox) of common intermediate format (CIF), 140 frames in duration with a fixed quantiser of step size 16 using H.263+ and derived the FOT function with our algorithm.

$f(t) =$

25	5100	$0 < t \leq T_{48};$
	3645	$T_{48} < t \leq T_{51};$
	3058	$T_{51} < t \leq T_{52};$
	2830	$T_{52} < t \leq T_{61};$
	2682	$T_{61} < t \leq T_{70};$
30	2651	$T_{70} < t \leq T_{71};$

---

2464  $T_{71} < t \leq T_{90}$  ;  
 2447  $T_{90} < t \leq T_{108}$  ;  
 2321  $T_{108} < t$  .

In this document, we define  $T_i$  as the time when the decoder displays Frame  $i$ .

- 5 We define the measure unit of all measurement rates in this document as bits per frame interval.

$b_0 = 39824$  bits ; suggested transmission rate for  $b_0$ : 5100bits per frame interval

(b) Using Furini and Towsley's method, we get

$f(t) =$

10 9896  $T_0 < t \leq T_{29}$  ;  
 9432  $T_{29} < t \leq T_{40}$  ;  
 7272  $T_{40} < t \leq T_{41}$  ;  
 6552  $T_{41} < t \leq T_{46}$  ;  
 6184  $T_{46} < t \leq T_{47}$  ;  
 15 5328  $T_{47} < t \leq T_{48}$  ;  
 3696  $T_{48} < t \leq T_{51}$  ;  
 3632  $T_{51} < t \leq T_{106}$  ;  
 3552  $T_{106} < t \leq T_{138}$  ;  
 2896  $T_{138} < t$  .

- 20  $b_0 = 39824$  bits

In their transmission scheme,  $b_0$  would be achieved by 39824 bits per frame interval.

(c) With a constant average bit rate, the function would be:

$f(t) = 3669$ .

$b_0 = 108488$  bits;

- 25  $b_0$  is achieved by 3669bits per frame interval.

Figure 1 shows these results plotted graphically.



The analysis results are listed in Table 1:

Schemes	(c) Fixed bandwidth channel with average bit rate	(a) Our scheme	(b) Furini & Towsley
Bandwidth utilization (%)	100	100	63.46
The Start Reservation Rate (bits/per frame interval)	3,669	5,100	39,824
LOS(frames)	$108488/3669 = 29.57$	$39824/5100=7.8$	$39824/39824 = 1$
Buffer Size(bits)	108,488	60,336	39,824

Table 1: JacknBox 140 frame, H.263+

We have also encoded the same video sequence with a CBR rate control. In this case, the LOS would be  $29656/3735 = 7.94$  frames. However, 10 frames are skipped with the normal CBR rate control and the bits budget we give is the same as the average number of bits in VBR encoding.

Example 2. 8400 frames' TV Programme using H.263+:

This test used a normal TV programme QCIF (quarter-CIF) sequence with 8400 frames, coded with a fixed Quantiser step size of 16 using H.263+. The picture type is IPPPP.... with forced updating every 132 frames in the H.263+ recommendation.

(a)  $f(t) =$

4977  $T_0 < t \leq T_{3173}$  ;  
 4218  $T_{3173} < t \leq T_{3679}$  ;  
 3968  $T_{3679} < t \leq T_{3680}$  ;  
 15 3848  $T_{3680} < t \leq T_{3681}$  ;  
 3844  $T_{3681} < t \leq T_{4752}$  ;  
 3090  $T_{4752} < t \leq T_{8392}$  ;

	992	$T_{8392} < t \leq T_{8393}$ ;
	816	$T_{8393} < t \leq T_{8394}$ ;
	644	$T_{8394} < t \leq T_{8396}$ ;
	544	$T_{8396} < t \leq T_{8397}$ ;
5	384	$t > T_{8397}$ ;

$b_0 = 13944$  bits;

As previously,  $b_0$  may be achieved by the first rate of 4977 bits per frame interval.

(b)  $f(x) =$

	27672	$T_0 < t \leq T_{8339}$ ;	21952	$T_{8358} < t \leq T_{8359}$ ;
10	26704	$T_{8339} < t \leq T_{8340}$ ;	21744	$T_{8359} < t \leq T_{8369}$ ;
	26560	$T_{8340} < t \leq T_{8341}$ ;	20448	$T_{8369} < t \leq T_{8373}$ ;
	26488	$T_{8341} < t \leq T_{8342}$ ;	20344	$T_{8373} < t \leq T_{8384}$ ;
	26240	$T_{8342} < t \leq T_{8344}$ ;	19960	$T_{8384} < t \leq T_{8385}$ ;
	25832	$T_{8344} < t \leq T_{8345}$ ;	19016	$T_{8385} < t \leq T_{8391}$ ;
15	25136	$T_{8345} < t \leq T_{8346}$ ;	11656	$T_{8391} < t \leq T_{8392}$ ;
	24168	$T_{8346} < t \leq T_{8347}$ ;	992	$T_{8392} < t \leq T_{8393}$ ;
	23816	$T_{8347} < t \leq T_{8352}$ ;	816	$T_{8393} < t \leq T_{8394}$ ;
	23760	$T_{8352} < t \leq T_{8353}$ ;	648	$T_{8394} < t \leq T_{8396}$ ;
	23616	$T_{8353} < t \leq T_{8356}$ ;	544	$T_{8396} < t \leq T_{8397}$ ;
20	22824	$T_{8356} < t \leq T_{8357}$ ;	384	$T_{8397} < t \leq T_{8399}$ ;
	22528	$T_{8357} < t \leq T_{8358}$ ;		

$b_0 = 13944$  bits;

$b_0$  may be transmitted at 29762 bits per frame interval.

25

(c) With a constant average bit rate, FOT would be:

$f(t) = 3966$ .

$b_0 = 3348584$  bits;

$b_0$  may be set at 3669bits per frame interval.

Figure 2 shows these FOT curves for 8400 frames' TV programme with H.263+.

The analysis results are listed in Table 2:

Schemes	(c) Fixed bandwidth channel with average bit rate	(a) Our scheme	(b) Furini & Towsley
Bandwidth utilization (%)	100	100	14.36
The Start Reservation Rate (bits/per frame interval)	3,966	4,977	27,672
LOS (frame interval)	$3348584/3966 = 844.322$	$13944/4977 = 2.8$	$13944/27672 = 0.5$
Buffer Size (bits)	6,116,362	3,908,218	27,672

Table 2:8400 frames H.263+

### 5 Example 3. 8400 frames' TV QCIF Programme coded with MPEG4:

The same TV programme QCIF sequence of 8400 frames was coded using MPEG4, with a fixed Quantiser step size of 10. The picture type is IBBPBBPBBPBB (N=12, M=3). It should be noted that, with B pictures, the encoding sequence of pictures is different from the displaying sequence of pictures. So the related I or P pictures must be transmitted prior

10 to the B picture. Some pre-processing is needed before using our algorithm.

(a) Finally, our FOT is:

$$\begin{aligned}
 f(t) = & \\
 7426 & \quad T_0 < t \leq T_{4750} ; \\
 6938 & \quad T_{4750} < t \leq T_{4786} ; \\
 15 \quad 66470 & \quad T_{4786} < t \leq T_{4798} ; \\
 6309 & \quad T_{4798} < t \leq T_{4870} ; \\
 6190 & \quad T_{4870} < t \leq T_{4900} ; \\
 6083 & \quad T_{4900} < t \leq T_{4918} ;
 \end{aligned}$$

6026       $T_{4918} < t \leq T_{8398}$  ;

168       $T_{8398} < t$ .

$b_0 = 16548$  bits;

5    $b_0$  can be sent using 7426 bits per frame interval.

(b)  $f(x) =$

57472       $T_0 < t \leq T_{8338}$  ;

50616       $T_{8338} < t \leq T_{8350}$  ;

49504       $T_{8350} < t \leq T_{8368}$  ;

10   48608       $T_{8368} < t \leq T_{8371}$  ;

48536       $T_{8371} < t \leq T_{8383}$  ;

44968       $T_{8383} < t \leq T_{8386}$  ;

31752       $T_{8386} < t \leq T_{8389}$  ;

28696       $T_{8389} < t \leq T_{8398}$  ;

15   .168       $T_{8398} < t$ .

$b_0 = 16040$  bits;

$b_0$  may be set at 57472 bits per frame interval.

(c)      With a constant average bit rate, FOT would be:

$f(x) = 6825$ .

20    $b_0 = 2874758$  bits;

$b_0$  may be set at 6825 bits per frame interval.

Figure 3 shows these FOT curves for 8400 frames' TV programme with MPEG4 ( $N=12$ ,  $M=3$ ).

The analysis results are listed in Table 3:

Schemes	(c) Fixed bandwidth channel with average bit rate	(a) Our scheme	(b) Furini & Towsley
Bandwidth utilization (%)	100	100	11.897
The Start Reservation Rate (bits/per frame interval)	6,825	7,426	57,472
LOS (frames)	$2874758/6825 = 421.21$	$16548/7426 = 2.228$	$16040/57472 = 0.279$
Buffer Size (bits)	6,236,252	3,997,072	57,472

Table 3: 8400 frames, MPEG4

From the above experimental results, it can be seen that LOS has been greatly reduced while we still keep 100% transmission efficiency. No network resource has been wasted. The only thing still can be further improved is to further minimise the required buffer size at the decoder.

Figure 4 is a block diagram of a server operable in accordance with the invention. It contains the usual computer components, that is a processor 10, memory 11, a disc store 12, keyboard 13, a display 14, and a network interface 15 for connection to a telecommunications network 16. Video sequences available to be transmitted are stored in the disc store 12 in a conventional manner in the form of encoded files 20.

Also stored in the disc store 12 is a computer program 21 for implementing controlling the operation of the server. The operation of this program, using the "floor" method, will now be described with reference to the flowchart shown in Figure 5.

Step 100

15 A request is received via the interface 15 from a remote terminal for transmission of a desired video sequence; such a request includes the filename of that one of the files 20 containing that sequence.

Step 101

The processor 10 reads the file in question from the disc store 12 and determines the number of coded bits  $d_j$  in the file for each of the  $N$  frames in the stored sequence, and stores the value of  $N$  and  $d_j$  ( $j = 0 \dots N - 1$ ) in the memory 11.

Step 102

- 5 The processor calculates  $k_0 \dots k_{M-1}$  as described above and stores  $M$  and  $k_0 \dots k_{M-1}$  in the memory 11.

Step 103 Calculate  $R'_i =$  for all  $i$

Step 104 Set  $R_{M-1} = Q^{-1}\{R^1_{M-1}\}$  and Compute  $P_{M-1}$

Step 106 Set a pointer  $m = M-2$

- 10 Step 107 Compute  $R_m$  and  $P_m$

Step 109 Decrement  $m$ . If  $m \geq 0$ , go to step 107

Step 111 Compute  $b_0 = P_0 + R_0$

Step 112 Compute the segment durations – in this implementation the preload and segment 0 are regarded as a single segment for transmission purposes. Thus

$$15 \quad \tau_0 = (b_0 / R_0 + k_0 + 1) * \tau$$

$$\tau_i = (k_i - k_{i-1}) * \tau \quad i = 1 \dots M - 1$$

where  $\tau$  is the length of a frame period.

Step 113 Set  $i$  to 0

- Step 114 Transmit a reservation request specifying a rate of  $R_i$  and a duration of at  
20 least  $\tau_i$ .

Step 115 Transmit Segment  $i$  at rate  $R_i$  (preceded, when  $i = 0$ , by  $P_0$  preload bits).

Step 116. If all segments have been transmitted, stop; otherwise, increment  $i$  at 117 and go to step 114.

- Some reservation systems, such as the RSVP system mentioned earlier, in order to  
25 accommodate multicasting, require that a reservation request be issued by the receiving terminal. In such a case step 113 would be modified to specify the transmission of a

message to the receiving terminal specifying  $R_i$  and  $\tau_i$ . Whereupon the terminal would transmit the required reservation request to the network.

In some networks, it may be that there is some constraint on the times at which the reserved rate may be changed. However the approach adopted above is robust to such  
 5 problems because every reservation request except the first requests a lower rate than before. It follows that delay in processing such requests results in the reserved rate remaining high after the actual transmitting rate has been reduced. In this case the efficiency of network utilisation falls, but the transmission quality is unaffected.

The reservation algorithm described above is built upon the constraint that the reserved bit  
 10 rate must never be increased. This is not however essential, so a second embodiment of the invention which is not subject to this constraint will now be described.

In the case, each segment is chosen in such a manner that, as before, the average generated bit rate  $\sum d_j$  for the segment is greater than or equal to the average for any shorter part of the video sequence beginning at the start of that segment, but, now, may be less than the  
 15 average for some longer part starting at the same point.

The procedure will be described for the general segment  $q$  ( $= 0 \dots M-1$ )

Using

$$A_i^{(q)} = \frac{\sum_{j=k_{q-1}+1}^i d_j}{i - k_{q-1}}$$

calculate  $A_i^{(q)}$  for all  $k_{q-1} + 1 \leq i \leq k_{q-1} + H$  (or  $k_{q-1} + 1 \leq i \leq N-1$  if this is shorter)

20 where  $H$  is some defined maximum length that is to be permitted.

Find the value of  $i$  for which  $A_i^{(q)}$  is largest, and set  $k_q$  equal to this value of  $i$ .

This is the same as the previously described procedure, except that the search for the maximum average rate is restricted in its range.

Once  $k_q$  ( $q = 0, \dots, M-1$ ) have been determined, the actual transmission rates can be  
 25 determined exactly as described above except that any limits defined to prevent a rate from

exceeding that of the preceding segment, or from falling below that of the following one, are omitted.



## CLAIMS

1. A method of transmitting a digital sequence of video signals which have been encoded using a compression algorithm such that the number of coded bits per frame is not  
5 constant, comprising:
    - (a) dividing the sequence into segments, wherein the first segment is a portion at the beginning of the sequence which has an average number of coded bits per frame which is greater than or equal to the average number of coded bits per frame of any shorter such portion, and wherein each succeeding segment is a portion immediately following the  
10 preceding segment which has an average number of coded bits per frame which is greater than or equal to the average number of coded bits per frame of any shorter such portion;
    - (b) determining a bit rate for each segment;
    - (c) transmitting the signals at the determined bit rates.
  - 15 2. A method according to claim 1 wherein the first segment is that portion at the beginning of the sequence which has an average number of coded bits per frame which is greater than or equal to the average number of coded bits per frame of any possible such portion, and wherein each succeeding segment is that portion immediately following the  
20 preceding segment which has an average number of coded bits per frame which is greater than or equal to the average number of coded bits per frame of any possible such portion.
  3. A method according to claim 1 wherein the first segment is that portion at the  
25 beginning of the sequence which has an average number of coded bits per frame which is greater than or equal to the average number of coded bits per frame of any possible such portion not exceeding a maximum predetermined length, and wherein each succeeding segment is that portion immediately following the preceding segment which has an average number of coded bits per frame which is greater than or equal to the average number of  
30 coded bits per frame of any possible such portion not exceeding said maximum predetermined length.
-

4. A method according to claim 1,2 or 3 in which the bit rate determined for each of at least the later segments of the sequence is a number of bits per frame period equal to the average coded bits per frame for that segment.

5

5. A method according to claim 1,2 or 3 in which the bit rate determined for each of at least the later segments of the sequence is a number of bits per frame period equal to the lowest one of a set of permitted bit rates that is greater than or equal to a nominal rate for  
10 that segment, said nominal rate being the average coded bits per frame for that segment less any reduction permitted as a consequence of the determined bit rate for the preceding sequence being in excess of the nominal rate for that preceding segment.

15 6. A method according to claim 1,2 or 3 in which the bit rate determined for each of at least the later segments of the sequence is a number of bits per frame period equal to the highest one of a set of permitted bit rates that is less than or equal to a nominal rate for that segment, said nominal rate being the average coded bits per frame for that segment plus  
20 any increase necessitated as a consequence of the determined bit rate for the following sequence being less than the nominal rate for that preceding segment.

7. A method according to claim 2 in which the bit rate determined for each of at least the later segments of the sequence is a number of bits per frame period equal to the larger  
25 of:

- (i) the lowest one of a set of permitted bit rates that is greater than or equal to a nominal rate for that segment, said nominal rate being the average coded bits per frame for that segment less any reduction permitted as a consequence of the determined bit rate for the preceding sequence being in excess of the nominal rate for that preceding segment; and
- 30 (ii) the lowest one of the set of permitted bit rates that is greater than or equal to the average coded bits per frame for the following segment.

8. A method according to claim 2 in which the bit rate determined for each of at least the later segments of the sequence is a number of bits per frame period equal to the lower of:
- 5 (i) the highest one of a set of permitted bit rates that is less than or equal to a nominal rate for that segment, said nominal rate being the average coded bits per frame for that segment plus any increase necessitated as a consequence of the determined bit rate for the following sequence being less than the nominal rate for that preceding segment; and
- (ii) the highest one of the set of permitted bit rates that is less than or equal to the average
- 10 coded bits per frame for the preceding segment.
9. A method according to any one of the preceding claims, including transmitting to a telecommunications network commands requesting reservation of said determined bit
- 15 rates.

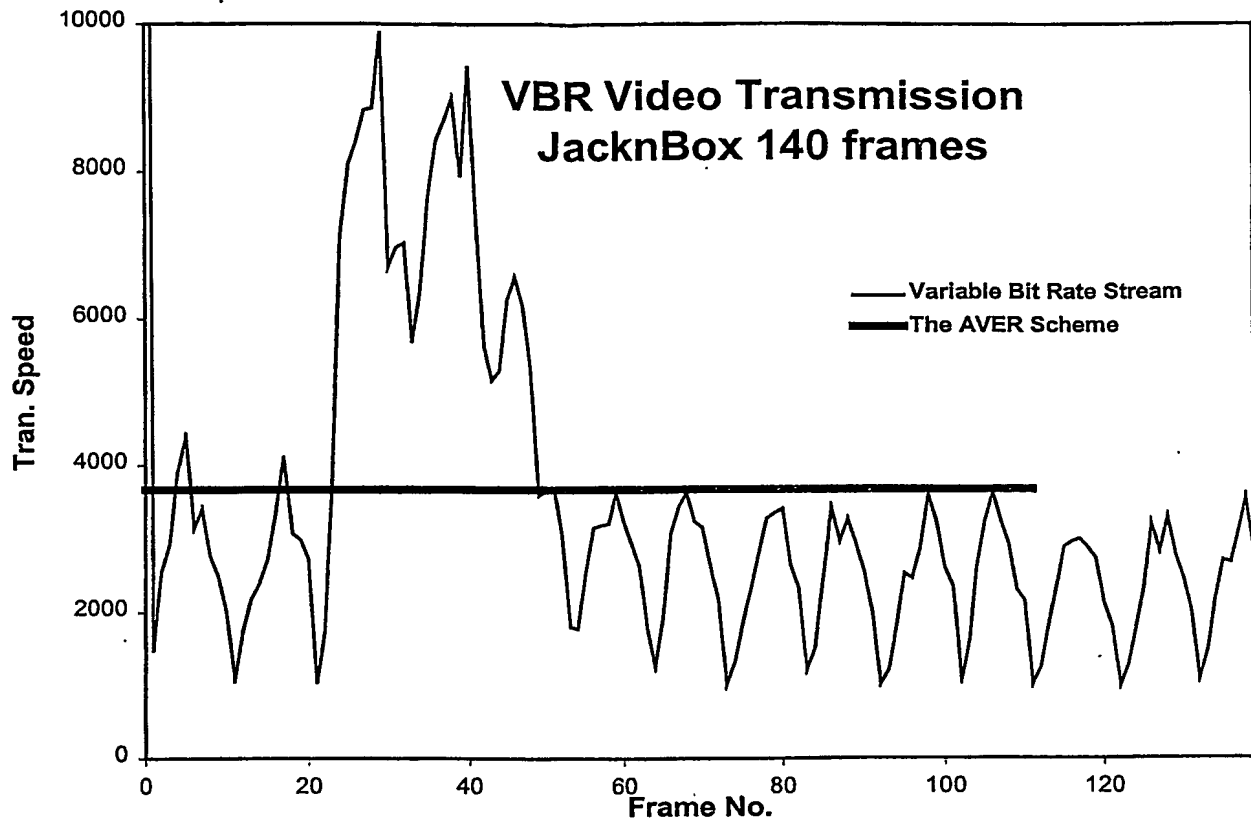


Fig.1A

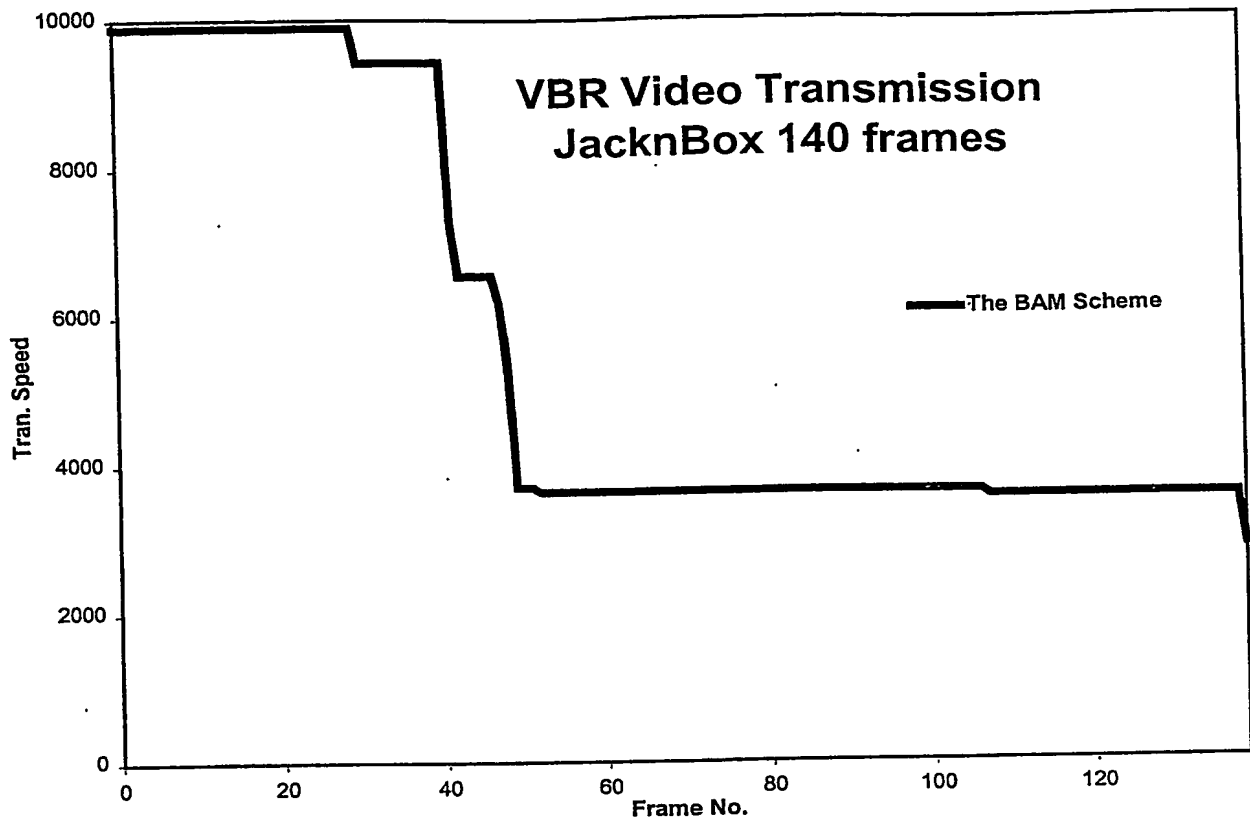


Fig. 1B

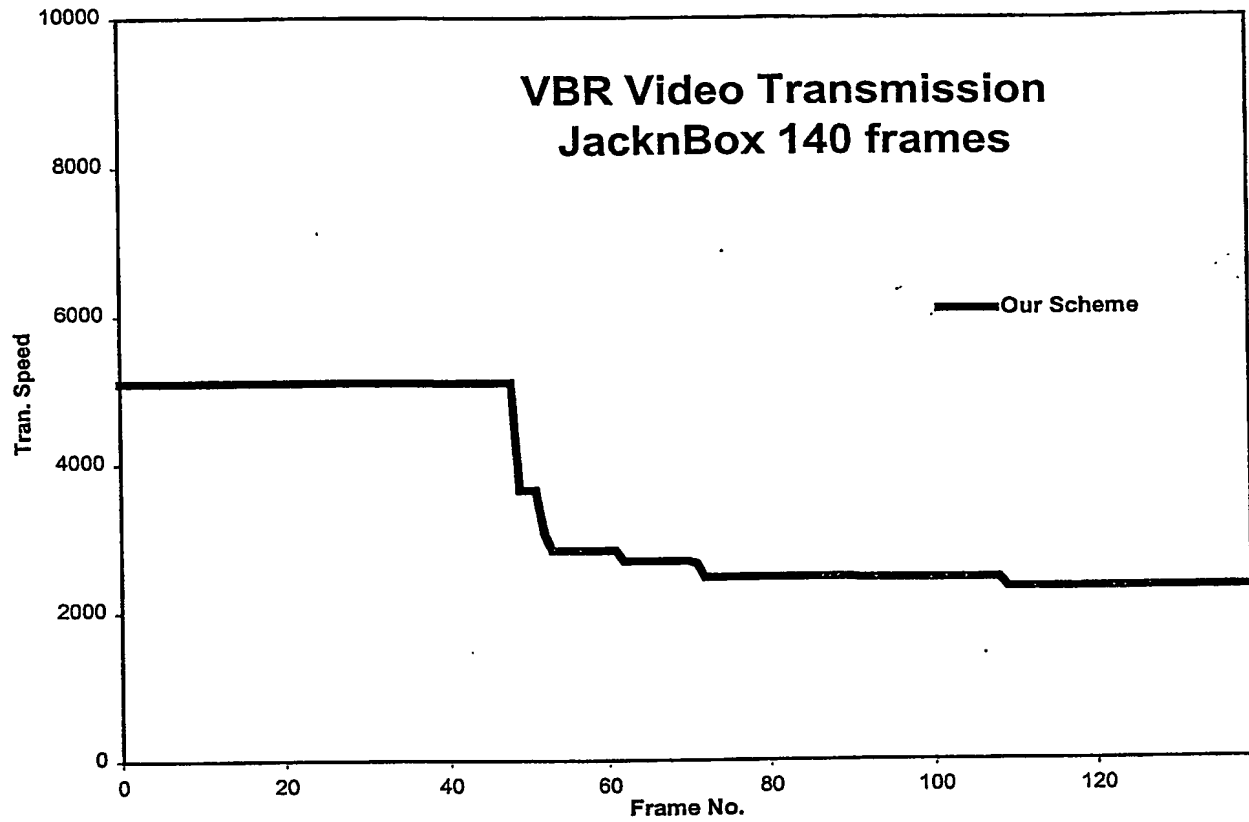


Fig.1C

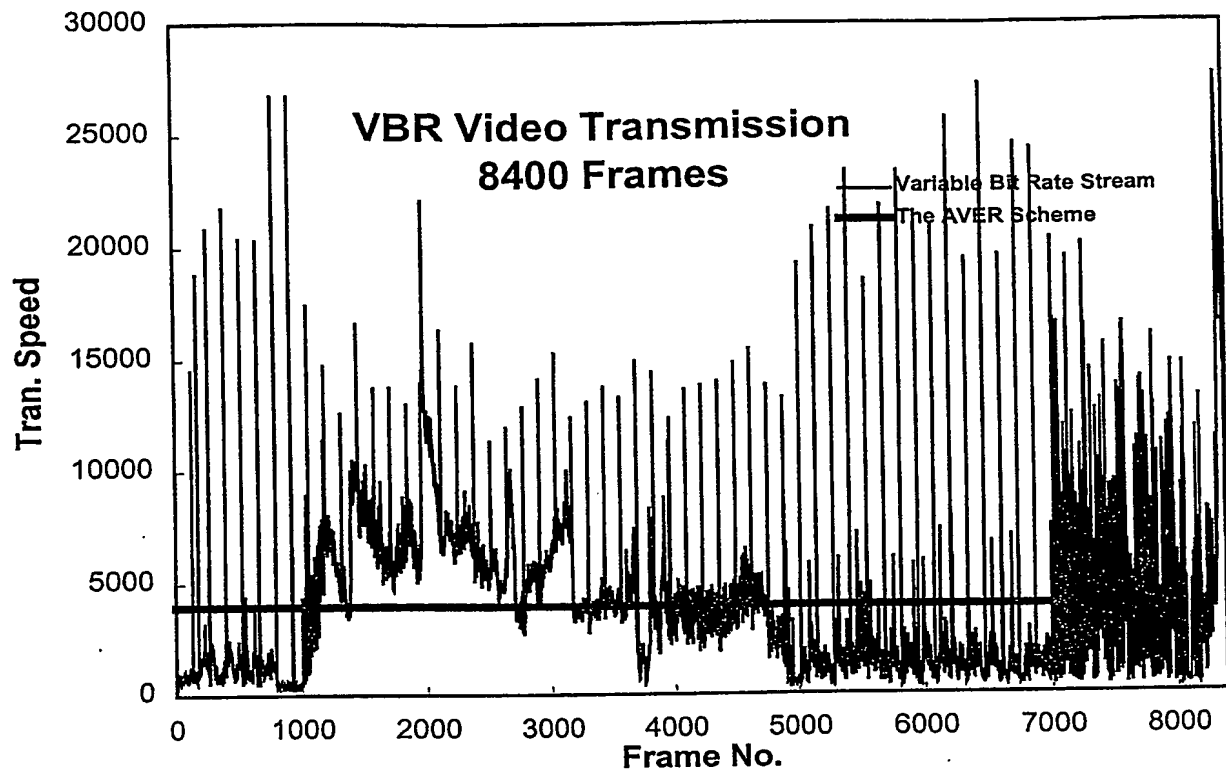


Fig.2A

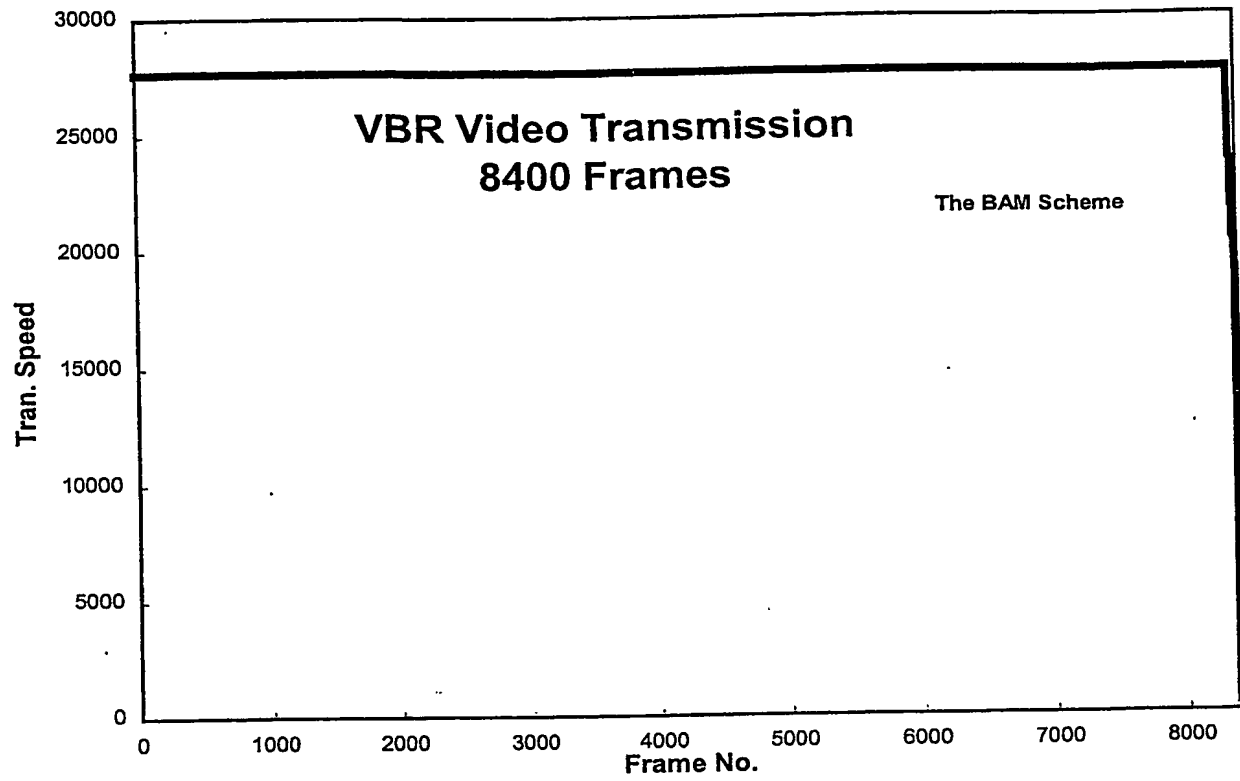


Fig.2B



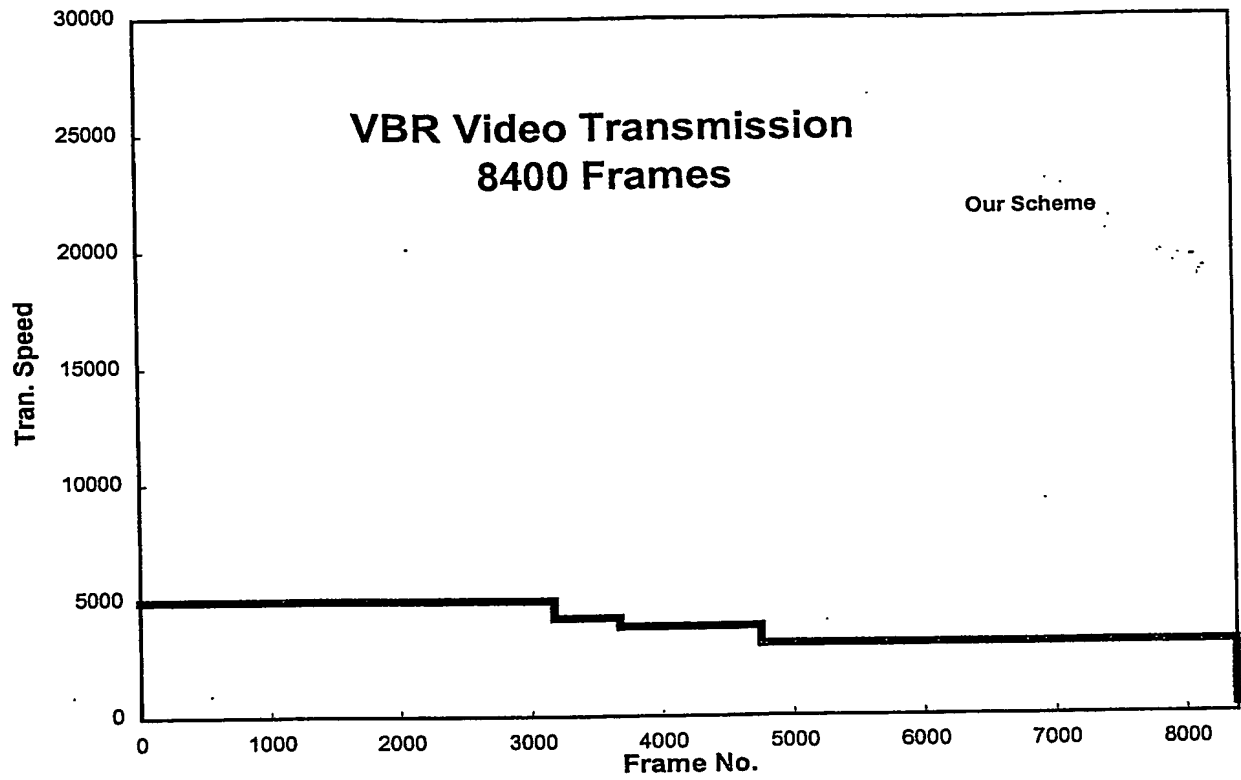


Fig.2C

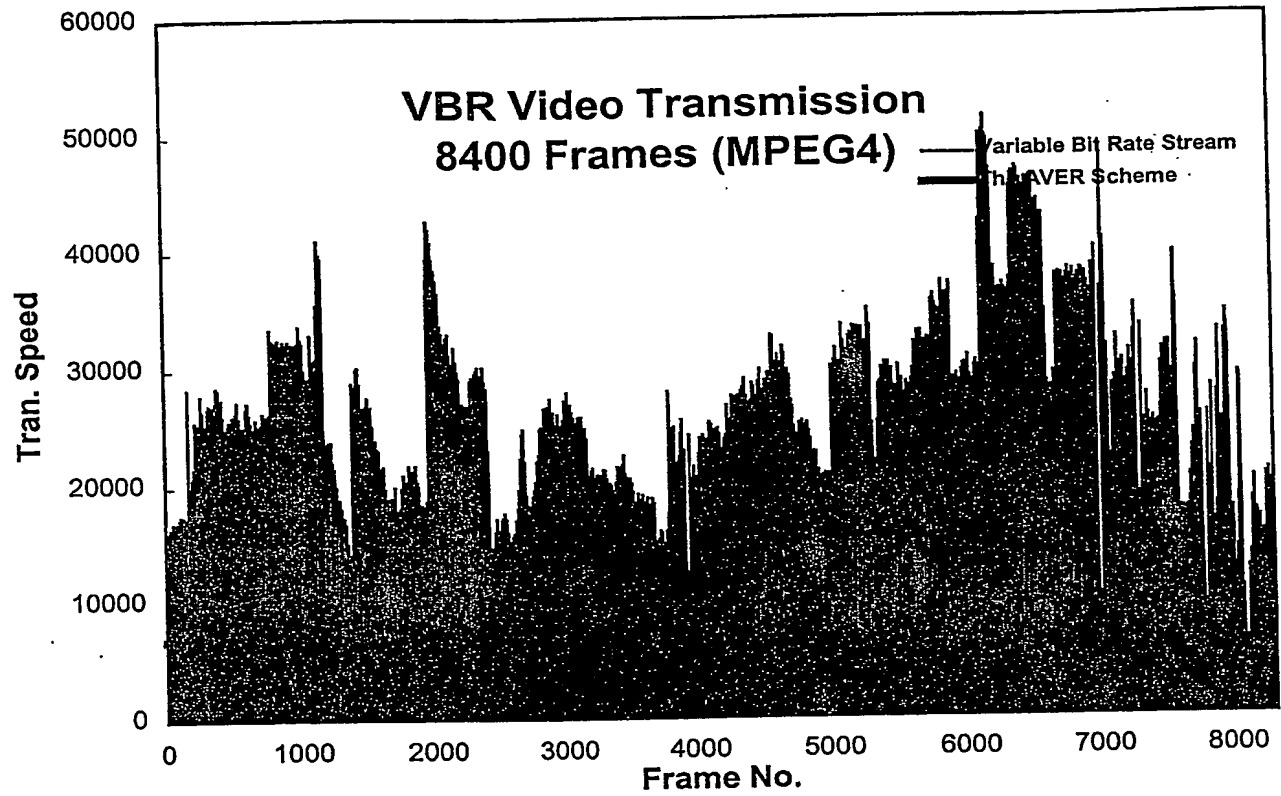


Fig.3A

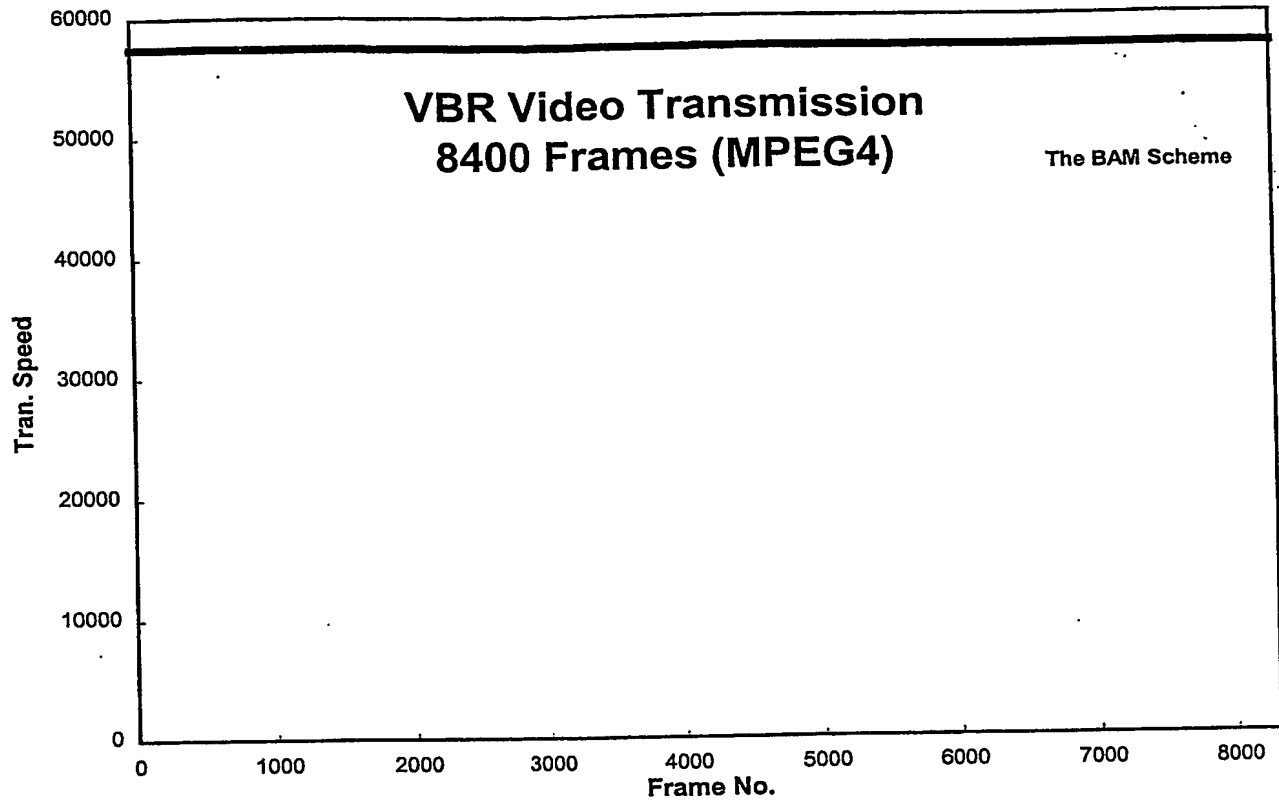


Fig.3B

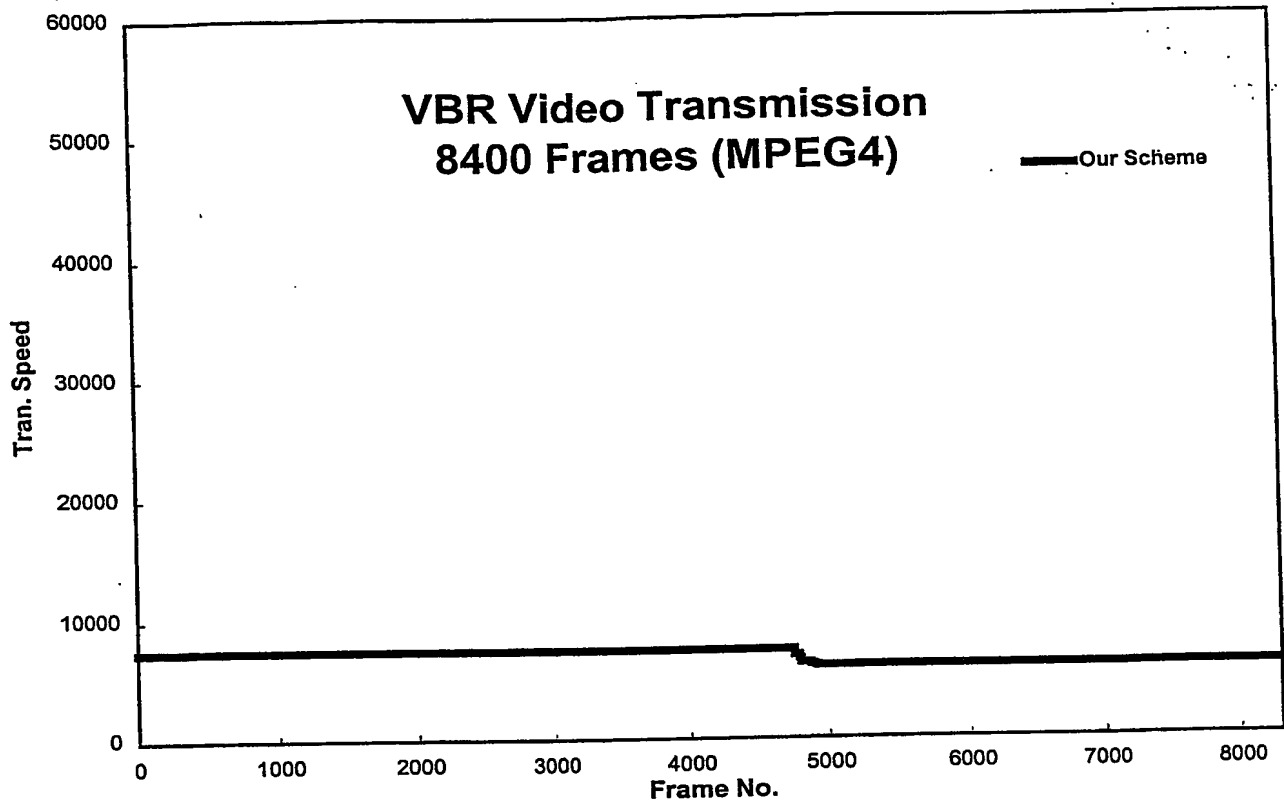


Fig.3C

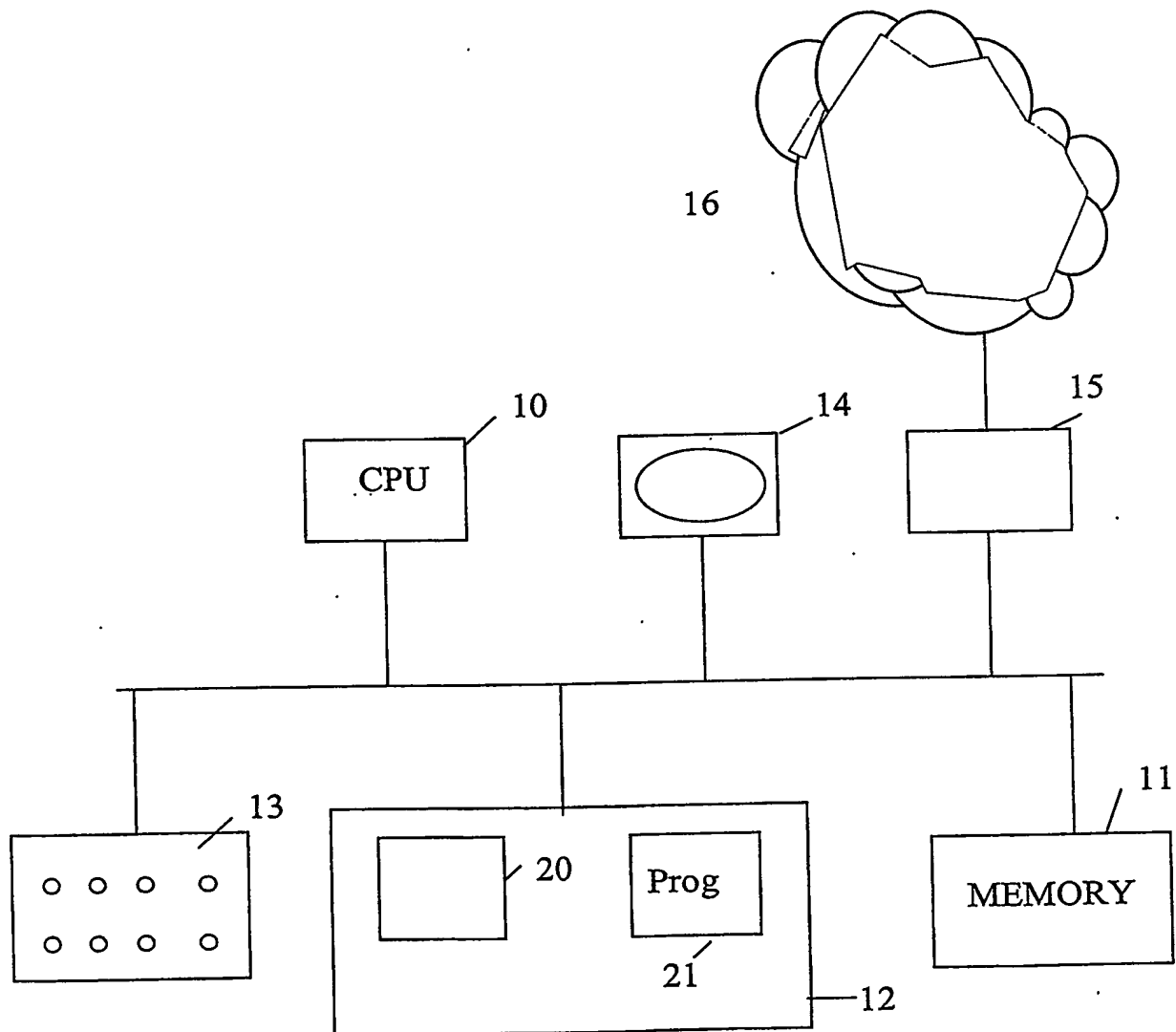
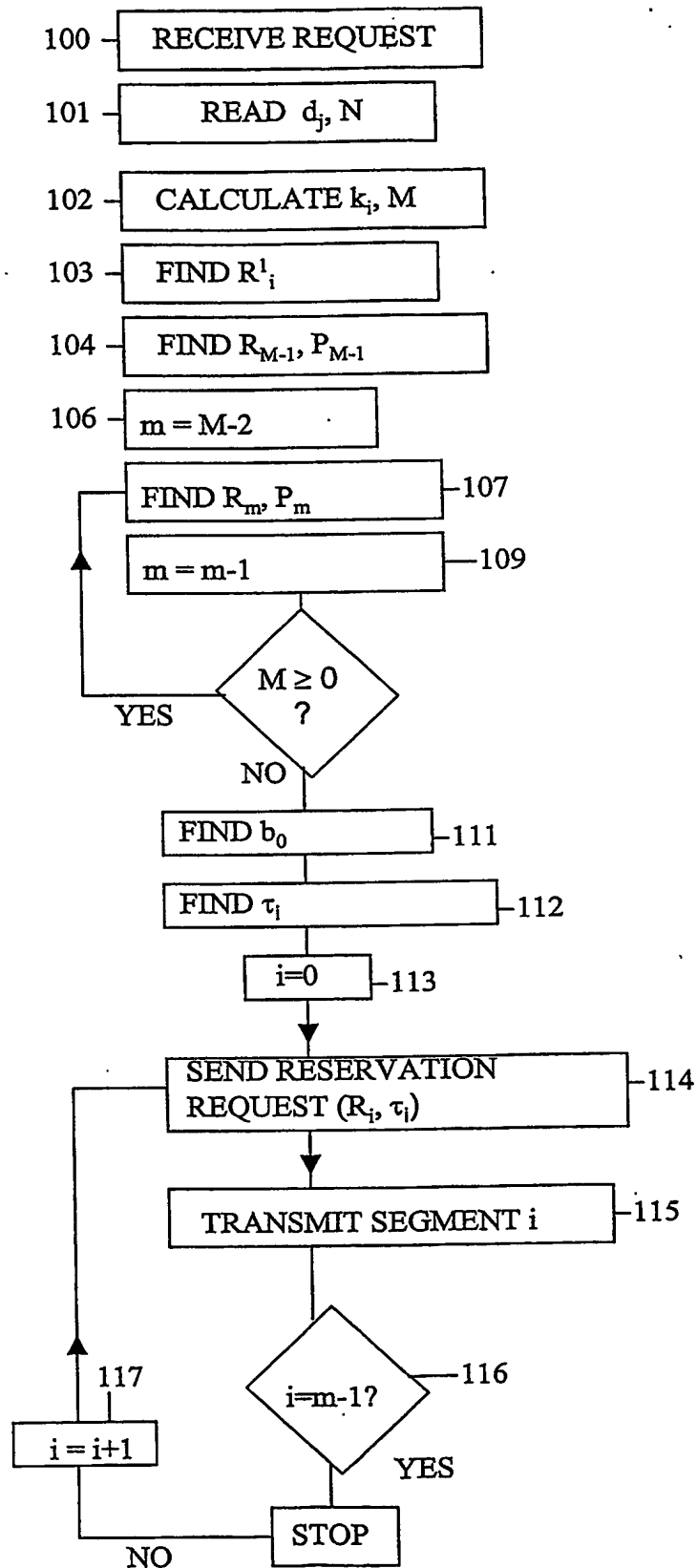


Fig. 4



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